

# Inelastic Electron Tunneling Spectroscopic Study of Interaction of Acetylcholine and $\beta$ -Methyl Acetylcholine with Alumina Surface

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## SUMMARY

The conformation of acetylcholine (Ach) and its muscarinic analogue  $\beta$ -methyl acetylcholine ( $\beta$ -MeAch) on an alumina surface was analyzed by inelastic electron tunneling spectroscopy (IETS). This method detects vibrational modes of organic molecules that are active in both Raman (R) and IR spectroscopies. By using previously recorded and interpreted R and IR spectra of Ach and  $\beta$ -MeAch in solid-state and aqueous solutions we studied the perturbations due to adsorption. The results were used to interpret the interaction of both molecules with the alumina surface, and a comparison to that with receptors or with acetylcholinesterase was attempted. In the case of nonhydrolytic interaction, the positive trimethylammonium groups of both molecules seemed to be attracted by the negative oxygen ions of the surface. There was evidence that the O—C—N skeleton of Ach changed its conformation in aqueous solution and adopted the solid-state conformation, which is very similar to that of  $\beta$ -MeAch. This conformation once established, Ach appeared to interact with the alumina surface in the same way as did  $\beta$ -MeAch: both tunneling spectra were very similar. There was also evidence that in the acetyl part of both molecules the C=O double bond was broken and that the oxygen atom coordinated with an Al<sup>+</sup> cation. The acetyl skeleton did not show important conformational changes for either molecule. In the case of hydrolytic interaction of Ach or  $\beta$ -MeAch, the products of the hydrolysis, acetate ion and choline—the latter also adsorbed in ionic form—were found on the alumina surface. In both cases the conformation of the lateral groups bonded to the choline and acetyl skeletons was also analyzed.

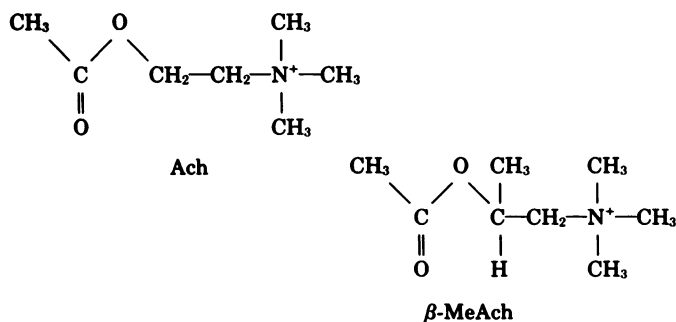
## INTRODUCTION

Ach<sup>1</sup> is a synaptic transmitter that interacts with two distinct receptors of the postsynaptic membrane, the nicotinic and muscarinic receptors, as well as with AchE (1). To understand the stereochemistry of these interactions, information on molecular structure is necessary. Many efforts have been made to elucidate the conformation of Ach and related compounds. Structural details in the solid state have been obtained by X-ray diffraction studies (2-8), and a large number of quantum mechanical calculations have been performed (9). NMR spectroscopy has provided interesting information about aqueous solutions (10-14). However, the complexity of the problem prevented achieving a complete determination of the

structure and of the conformational possibilities of these molecules; therefore, methods that allow simultaneous observation in the solid state and in aqueous solution are quite desirable. R spectrometry complemented by IR spectrometry has been used to study the vibrational properties of Ach (15) as well as those of some of its analogues, namely a nicotinic analogue, nicotine (16), and two muscarinic analogues, muscarine and  $\beta$ -MeAch (17). Their conformational changes when passing from the solid state to an aqueous solution have been discussed in detail. Nevertheless the problem of the conformational possibilities of Ach and its analogues when attached to the receptors of the postsynaptic membrane remains unsolved. It has been the subject of many hypotheses, but experimental work is lacking.

We report here, as an extension of our earlier work (18), a detailed interpretation of the IET spectra of Ach and  $\beta$ -MeAch, obtained by adsorption on an alumina surface (Al<sub>2</sub>O<sub>3</sub>).

<sup>1</sup> The abbreviations used are: Ach, acetylcholine; AchE, acetylcholinesterase; R, Raman spectra;  $\beta$ -MeAch,  $\beta$ -methyl acetylcholine; IETS, inelastic electron tunneling spectroscopy.



Despite the fact that the alumina surface is not exactly a postsynaptic membrane, it does possess complementary adsorption sites ( $\text{Al}^+-\text{O}^-$  acid-base pairs) which may provide a model for the complementary sites believed to exist in Ach receptors and AchE (19). The interaction of Ach and  $\beta$ -MeAch with a surface being determined especially by their conformational possibilities, we believe that our results could be relevant to the situation at the membrane level.

The IETS method is particularly well adapted for adsorption studies and can detect vibrational modes of organic molecules that are active in both R and IR spectra in the range of  $300\text{--}4000\text{ cm}^{-1}$  without significant interference from the vibrational modes of the adsorbate. The details of the spectra can be interpreted in terms of molecular conformation and of perturbations due to adsorption. The method has a resolution of about  $0.5\text{ meV}$  ( $4\text{ cm}^{-1}$ ) in the present case, and a very high sensitivity (down to  $\frac{1}{2}\%$  monolayer) (20). Tunneling spectra have been obtained for relatively small molecules as well as for large species such as amino acids and proteins and other biological materials (20).

In this study we show that IETS provides important information about the interaction of Ach and  $\beta$ -MeAch with an alumina surface. Ach is a flexible molecule. Its unsubstituted  $\text{O}-\text{C}-\text{N}$  chain is capable of relatively unhindered rotation around the  $\text{O}-\text{C}$  and  $\text{C}-\text{N}$  bonds, whereas the  $\beta$ -methyl substitution in  $\beta$ -MeAch limits this possibility. Thus, in transition from the solid state to an aqueous solution, the Ach conformation changes considerably (15) but only slight differences have been observed in the case of  $\beta$ -MeAch (17). It has been proposed that the conformation of  $\beta$ -MeAch in solution is already closer to the structure fitting the receptor molecule, whereas Ach takes its "active" form only in the neighborhood of the receptor (17). The present analysis has yielded experimental results on the behavior of the molecules in the vicinity of an alumina surface in terms of molecular configuration in the adsorbed state and illustrates analogies with adsorption on a receptor site.

#### EXPERIMENTAL PROCEDURES

The principle and some applications of tunneling spectroscopy have been reviewed recently (20). The molecules to be studied were adsorbed on the oxide surface of a metal-oxide-metal tunnel junction. When a bias is applied to the junctions, the electrons that tunnel through the oxide layer (about  $20\text{ \AA}$  thick) may excite vibrational transitions in the molecules. When the bias becomes sufficient for that to occur ( $eV = \hbar\omega$ , where  $eV$

is the bias and  $\hbar\omega$  is the energy of the transition), the conductance of the junction varies slightly. This is rendered more visible in the derivative of the junction conductance versus voltage: a transition is then marked by a peak, hence spectra such as those shown in Fig. 1. The spectra are measured at liquid helium temperature to avoid thermal broadening. Both IR-active and R-active modes as well as inactive modes are observed by IETS. Peak positions coincide if a slight shift due to the dipole image in the counter electrode is taken into account. This shift is of the order of a few tenths of  $1\%$  (21, 22). On the other hand, the relative intensities of the peaks are quite different from those observed in the R and IR spectra. They are not well understood, although it appears that orientation [modes with the dipole derivatives parallel to the plane of the junction are strongly attenuated (20)] and proximity to the counter electrode (21) play important roles. Great care must therefore be taken in interpreting intensities in tunneling spectra.

In the present study, aluminum-oxide-lead junctions were used. A film of aluminum ( $2000\text{ \AA}$ ) was deposited on a glass substrate and oxidized in a plasma of oxygen. The oxide layer was roughly  $15\text{ \AA}$  thick. The slide was then removed from the evaporator for treatment with an aqueous solution of Ach or  $\beta$ -MeAch. This was done under two different conditions.

**Condition A.** A  $10^{-4}\text{ M}$  aqueous solution of AchI or  $\beta$ -MeAchBr was deposited onto the alumina surface and left for 2 min. The excess solution was then blown off with a jet of argon.

**Condition B.** The molecules were deposited as indicated above, but the sample was rinsed with distilled water and then dried with argon.

The samples were returned to the bell jar, which was evacuated to  $10^{-6}$  torr, and a lead counter electrode was deposited. The whole process took place at room temperature.

AchI and  $\beta$ -MeAchBr were obtained from Sigma Chemical Company (St. Louis, Mo.) and were used without further purification.

#### RESULTS

##### *Tunneling Spectra of Ach and $\beta$ -MeAch Recorded under Experimental Condition A*

In Fig. 1 are shown the tunneling spectra of an undoped  $\text{Al-Al}_2\text{O}_3\text{-Pb}$  junction (a) and the same junction doped with AchI (b) and  $\beta$ -MeAchBr (c) under Condition A. Table 1 lists the peak positions and the apparent peak intensities. For purposes of comparison we have added the corresponding positions and assignments of vibrational R and IR modes of AchI and  $\beta$ -MeAchBr in the solid state and in aqueous solutions, studied previously (15, 17).

An important feature which characterized the interaction of Ach and  $\beta$ -MeAch with the alumina surface was the similarity of their tunneling spectra (Fig. 1, b and c). We present the analyses of these spectra successively for the two parts of the molecules: the choline part and the acetyl part. In each one we distinguish between the skeleton and lateral group vibrations.

**Choline part.**

Choline skeleton (O—C—C—N). Most significant for this skeleton was the strong bending vibration at  $443\text{ cm}^{-1}$ , which appeared in the tunneling spectra of both Ach and  $\beta$ -MeAch. This vibration was also very strong in the aqueous solution and solid-state R spectra of  $\beta$ -MeAch at  $450\text{ cm}^{-1}$  and  $455\text{ cm}^{-1}$ , respectively. The solid-state R spectrum of AchI showed the vibration at  $450\text{ cm}^{-1}$ , but it was barely visible in the aqueous-solution R spectrum of AchI (Table 1). Thus the O—C—C—N skeleton of the choline part of  $\beta$ -MeAch retained its aqueous-solution and solid-state conformation in the vicinity of the alumina surface. In contrast, the O—C—C—N skeleton of Ach on the surface adopted its solid-state conformation, which is similar to that of the  $\beta$ -MeAchBr skeleton (17) (Table 1). This was confirmed by the presence of two other choline skeleton vibrations (shoulders) at  $484$  and  $548\text{ cm}^{-1}$  in the tunneling spectrum of  $\beta$ -MeAch and of one shoulder at  $532\text{ cm}^{-1}$  in the spectrum of Ach. We found the corresponding R and IR vibrations at  $502\text{ cm}^{-1}$  and  $548\text{ cm}^{-1}$  for solid-state  $\beta$ -MeAchBr at  $485\text{ cm}^{-1}$  and  $535\text{ cm}^{-1}$  for AchI. The R spectra revealed another vibration at  $435\text{ cm}^{-1}$  for  $\beta$ -MeAch and at  $422\text{ cm}^{-1}$  for Ach which was not visible in the tunneling spectra of the same molecules. It may have been concealed by the slope of the strong peak at  $443\text{ cm}^{-1}$ .

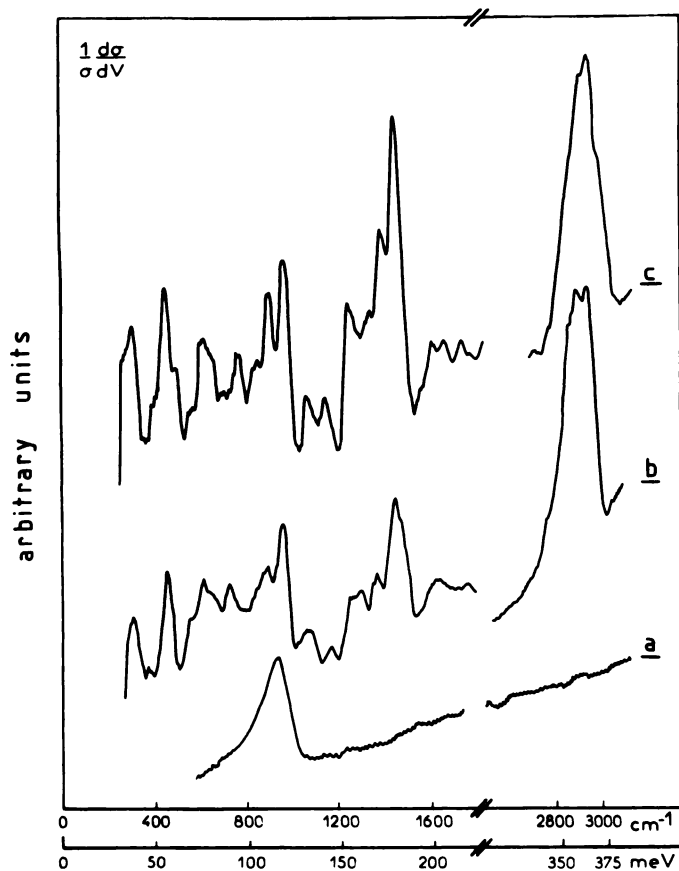


FIG. 1. Tunneling spectra of Al-Al<sub>2</sub>O<sub>3</sub>-Pb junctions under Condition A (see text)

a, Undoped; b, doped with AchI; c, doped with  $\beta$ -MeAchBr. Spectra were recorded at 4.2 K with a 2-mV rms modulation.

Lateral groups bonded to the choline skeleton. Three methyl groups were bonded to the positive nitrogen atom in both molecules. They were characterized by two stretching vibrations: the symmetrical  $\nu_s(\text{CH}_3)\text{N}$ , and the asymmetrical  $\nu_a(\text{CH}_3)\text{N}$ . In the solid-state R spectra we found them at about  $2930\text{ cm}^{-1}$  and  $3000\text{ cm}^{-1}$ , respectively (15) (Table 1). In tunneling spectra  $\nu_s(\text{CH}_3)\text{N}$  appeared as a shoulder at  $2911\text{ cm}^{-1}$  for both Ach and  $\beta$ -MeAch. Although  $\nu_a(\text{CH}_3)\text{N}$  was very strong for Ach at  $3006\text{ cm}^{-1}$ , it appeared as a shoulder for  $\beta$ -MeAch.

The methyl groups were also characterized by two bending vibrations. The in-plane bending vibration,  $\delta(\text{CH}_3)\text{N}$  rocking, was situated in the solid-state AchI and  $\beta$ -MeAchBr R and IR spectra at  $913\text{ cm}^{-1}$  and  $912\text{ cm}^{-1}$ , respectively. It disappeared completely in tunneling spectra. The out-of-plane bending vibrations,  $\delta(\text{CH}_3)\text{N}$  twisting or wagging, found at about  $1404\text{ cm}^{-1}$  in the solid-state Ach and  $\beta$ -MeAch R and IR spectra, were probably included in the wide and strong peak at about  $1440\text{ cm}^{-1}$ . Equally invisible in the tunneling spectra of  $\beta$ -MeAch was the asymmetrical stretching vibration of the  $\beta$ -methyl group, whereas it appeared strong in the solid-state R spectrum at  $2967\text{ cm}^{-1}$  (Table 1).

We also include here the stretching vibrations of the C—N bonds. The  $\nu_s(\text{C—N})$  mode at  $895\text{ cm}^{-1}$  for  $\beta$ -MeAch was strong in intensity. We found it at  $871\text{ cm}^{-1}$  for Ach, medium in intensity. The frequencies of the  $\nu_a(\text{C—N})$  vibrations were as follows:  $1315\text{ cm}^{-1}$ , weak for  $\beta$ -MeAch;  $1330\text{ cm}^{-1}$ , strong for Ach. The latter was displaced by  $26\text{ cm}^{-1}$  from the corresponding R and IR solid-state vibrations.

These observations indicate that on the alumina surface the conformation of the methyl groups bonded to the positive nitrogen atom were different for Ach and  $\beta$ -MeAch. These conformations were also different from the conformations of the same groups in the solid-state and aqueous-solution Ach and  $\beta$ -MeAch.

The methylene groups ( $\text{CH}_2$ ) of the choline part of the molecules could be characterized by two vibrations in the solid-state and aqueous-solution spectra which were not mixed with other vibrations, namely  $\nu_a(\text{CH}_2)$  at about  $2946\text{ cm}^{-1}$ , and  $\delta(\text{CH}_2)$  rocking at  $759\text{ cm}^{-1}$  for  $\beta$ -MeAch and  $720\text{ cm}^{-1}$  for Ach (Table 1). The tunneling spectra indicated that these groups preserved their respective conformations on the alumina surface.

The choline and acetyl part of both Ach and  $\beta$ -MeAch are bound together by a C—O—C bond. In the IR and R spectra (Table 1) we found the symmetrical stretching vibration,  $\nu_s(\text{C—O})$ , at  $952\text{ cm}^{-1}$  (Ach) and  $973\text{ cm}^{-1}$  ( $\beta$ -MeAch) for aqueous solutions (Table 1). In the tunneling spectra of both molecules the same vibration,  $\nu_s(\text{C—O})$ , was mixed with the  $\nu(\text{Al—O})$  mode. The asymmetrical vibration,  $\nu_a(\text{C—O})$ , was found at  $1242\text{ cm}^{-1}$  for Ach as a wide peak. In the  $\beta$ -MeAch spectrum the  $\nu_a(\text{C—O})$  was situated at  $1234\text{ cm}^{-1}$ . The solid-state IR spectrum showed it at  $1234\text{ cm}^{-1}$ , and the aqueous-solution IR spectrum at  $1249\text{ cm}^{-1}$ . Thus, at least for  $\beta$ -MeAch, we could conclude that the C—O—C bond did not change its conformation on the alumina surface.

**Acetyl part.**

Acetyl skeleton (C—C—O). The tunneling spectrum of the acetyl skeleton was also similar for both molecules



Peak positions and intensities in IR, R, and tunneling spectra (Condition A) for AchI and  $\beta$ -MeAchBr

The abbreviations used are: vw, very weak; w, weak; m, medium; s, strong; vs, very strong; sh, shoulder.

$\beta$ -MeAchBr				Tunneling spectra				AchI				Assignment			
Aqueous solution				$\beta$ -MeAchBr				AchI				Solid state			
R	IR	R	IR	cm <sup>-1</sup>	meV	cm <sup>-1</sup>	meV	cm <sup>-1</sup>	meV	R	IR	R	IR	R	IR
420 vw		435 m						443 s	443 s	422 m	423 w	418 vw			
450 vw		455 vs						484 sh	55 s	450 vs	453 m	450 vw			
		502 m			60 sh					485 m	482m				
544 m		548 m		548 sh	68 sh	532 sh	66 sh	532 sh	66 sh	535 m	532 vw	525 vw			
		598 w		605 s	75 s	587 s	74 s			608 w	607 m				
610 w		638 vs		653 sh	81 sh	645 sh	80 sh			644 vs	644 m	645 vs			
646 s		828 vs		839 w	104 w					828 vs	827 m	834 s			
834 s		769 vvs		750 m	94 m	710 m	88 m			720 vs		720 vs			
762 vs		895 s		895 s	111 s	871 m	108 m			863 m	864 m	875 s			
895 s	891 m	913 sh(w)		960 s	119 s	944 vs	117 vs			913 m	912 m	960 sh			
909 sh	907 m	940 sh(m)								953 m	955 vs	952 vs			
952 sh	945 s	962 sh(s)													
964 s	962 sh	976 vs													
975 sh	973 s	1020 w													
1025 vw	1020 m														
1070 w	1065 s			1048 s	130 s	1048 m	130 m			1055 vw	1052 vs	1055 w			
											1072 vs				
1100 w	1127 s	1098 w		1113 m	138 m										
1131 w	1134 sh	1134 w		1120 m	139 m	1145 m	142 m			1139 vw	1136 m	1143 vw			
		1150 sh													
1225 w	1249 vs	1225 w		1234 m	153 m	1242 m <sup>a</sup>	154 m <sup>a</sup>			1221 w	1223 vs	1240 vw			
1245 w		1245 sh								1277 w		1271 vw			
1280 vw		1280 m		1315 w	163 w	1330 s	165 s			1304 w	1303 vs				
1329 w	1321 vw	1327 s		1355 sh		1354 m	167 m			1362 vw	1366 vs				
1362 w	1379 m	1359 s		1365 s	171 m					1381 vs					
1382 sh										1409 s	1410 m				
1403 vw	1403 s	1404 s		1398 w											
1421 w	1420 sh	1420 sh		1417 sh											
				1426 m											
		1442 sh		1440 vw	178 s	1434 s	177 s			1445 s	1448 vw				
1450 s		1454 s		1455 vw						1459 sh		1450 vs			
1470 sh	1477 s	1477 sh		1475 m						1475 sh	1477 vs	1475 sh			
	1487 s			1487 s								1487 s			
1725 m	1728 vs	1730 s		1732 vs						1740 m	1737 vs	1735 m			
2893 vw		2887 sh		2884 w						2867 vw					
		2935 s								2920 m					
2948 s		2946 s		2947 w		2911 sh <sup>b</sup>	367 sh <sup>b</sup>			2946 s		2945 s			
		2967 s		2968 m		2952 vs	366 vs								
2980 sh		2983 s		2982 w		2944 vs(sh)	365 vs(sh)								
										2978 s	2975 m	2978 sh			
2988 s		2996 sh		2997 s								2989 vs			
		3002 vs		3000 s		3006 vs	373 vs			3005 vs	3002 m				
				3008 s						3011 vs					
3045 m		3021 sh		3019 sh						3030 vw	3029 w	3045 w			
		3035 vw													
		3054 vw		3050 vw											

<sup>a</sup> Broad.

<sup>b</sup> Badly resolved.

and was characterized by strong vibration at  $587\text{ cm}^{-1}$  for Ach and at  $605\text{ cm}^{-1}$  for  $\beta$ -MeAch. It was accompanied by a shoulder at  $645\text{ cm}^{-1}$  in the spectrum of Ach and at  $653\text{ cm}^{-1}$  in that of  $\beta$ -MeAch. The latter had another weak vibration at  $839\text{ cm}^{-1}$  which was not visible for Ach, but possibly it was hidden by the noise.

All of these vibrations corresponded well to the acetyl skeleton vibrations in the solid-state and aqueous-solution R and IR spectra of Ach and  $\beta$ -MeAch (Table 1). Thus this skeleton did not present radical modifications on the alumina surface.

Lateral groups bonded to the acetyl skeleton. Two groups belong here:  $\text{C}-\text{CH}_3$  and  $\text{C}=\text{O}$ .

The  $\nu_a(\text{CH}_3)\text{C}$  of the methyl group bonded to the carbon atom was identified in the R and IR spectra of both molecules at about  $2980\text{ cm}^{-1}$  (Table 1). However, it could not be distinguished in their tunneling spectra, probably being masked by the neighboring  $\text{C}-\text{H}$  vibrations; this was an indication of its low intensity on the alumina surface.

The stretching vibration of the  $\text{C}=\text{O}$  group ( $\nu\text{C}=\text{O}$ ) appeared very strong, especially, in the solid-state and aqueous-solution IR spectra, at  $1740\text{ cm}^{-1}$  (Ach) and at  $1730\text{ cm}^{-1}$  ( $\beta$ -MeAch). This vibration was absent in both tunneling spectra (Table 1), which showed unambiguously that the double bond was broken.

#### Tunneling Spectra of Ach and $\beta$ -MeAch Recorded under Experimental Condition B

Figure 2 shows the tunneling spectra of AchI (a) and  $\beta$ -MeAchBr (b) on the alumina surface under Condition B, and the acetate ions formed at the junction treated with acetic acid (c) and ChI (d). Table 2 lists the peak positions and apparent peak intensities in the tunneling spectra recorded under Condition B. It is completed with the R and IR vibrations of AchI in aqueous solution, before and after non-enzymatic hydrolysis; the aqueous solution vibrations of ChI, studied previously (15, 17); and the tunneling vibrational modes of acetic acid and ChI.

Under Condition B, after the deposition of Ach and  $\beta$ -MeAch, the surface was rinsed with distilled water. The tunneling spectra were similar for both molecules but they were very different from the spectra obtained under Condition A.

Significant differences appeared in the choline and acetyl skeleton vibrations. Thus the principal vibration of the choline skeleton ( $\text{O}-\text{C}-\text{N}$ ) at  $443\text{ cm}^{-1}$  (Table 1) was now split, widened, and shifted toward lower frequencies:  $411\text{ cm}^{-1}$  and  $427\text{ cm}^{-1}$  for Ach, and  $403\text{ cm}^{-1}$  and  $427\text{ cm}^{-1}$  for  $\beta$ -MeAch (Table 2). It was the only vibration which characterized the choline skeleton of both molecules under these conditions.

In the acetyl skeleton ( $\text{C}-\text{C}-\text{O}$ ) the strong vibration at  $587\text{ cm}^{-1}$  for Ach and at  $605\text{ cm}^{-1}$  for  $\beta$ -MeAch (Table 1) was now shifted to  $621\text{ cm}^{-1}$  and  $613\text{ cm}^{-1}$ , respectively. The weak vibration at  $645\text{ cm}^{-1}$  and  $653\text{ cm}^{-1}$  was missing, whereas a very intense peak appeared at  $685\text{ cm}^{-1}$  (Ach) and  $677\text{ cm}^{-1}$  ( $\beta$ -MeAch).

These skeleton modifications, together with the appearance of a predominant peak at  $1596\text{ cm}^{-1}$  and the disappearance of the strong vibration  $\nu_a(\text{C}-\text{O})$  at about

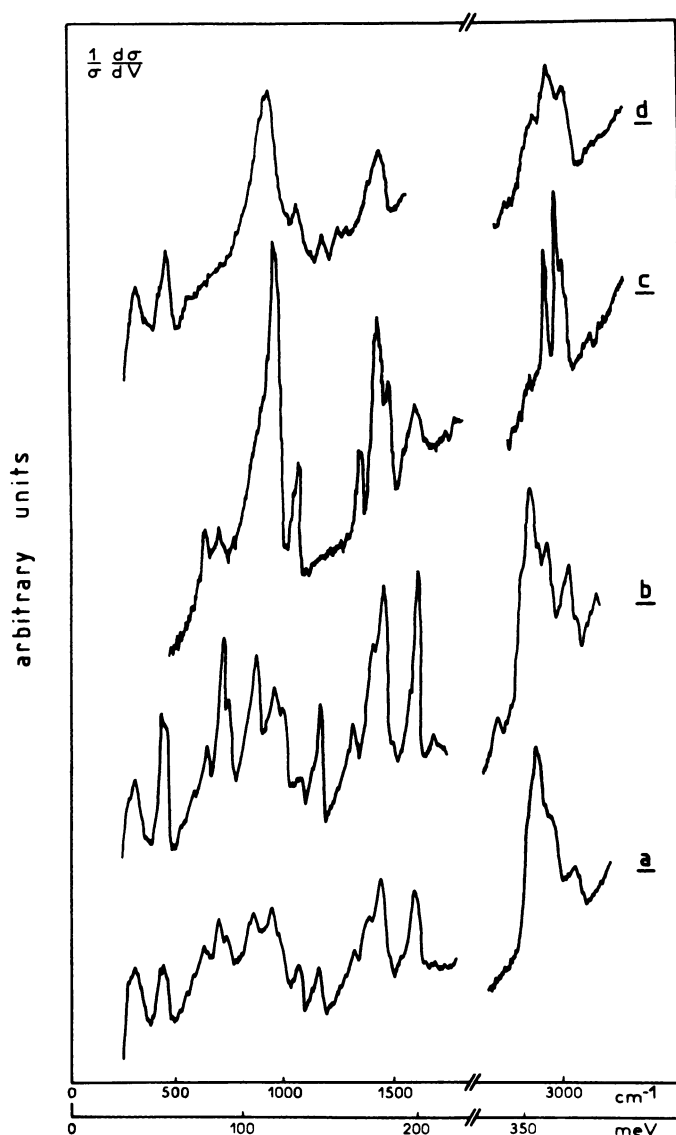


FIG. 2. Tunneling spectra of  $\text{Al}-\text{Al}_2\text{O}_3-\text{Pb}$  junctions under Condition B (see text)

a, Doped with AchI; b, doped with  $\beta$ -MeAchBr; c, doped with acetic acid; d, doped with ChI. Spectra were recorded at 4.2 K with a 2-mV rms modulation.

$1220\text{ cm}^{-1}$  (Fig. 2; Table 2), provided strong evidence that a reaction had taken place on the alumina surface leading to structural changes in the adsorbed molecules with formation of a new species.

Some spectral features listed in Table 2 enable us to clarify the phenomenon. Thus a survey of the R and IR spectra of Ach after hydrolysis (Table 2) revealed that they possessed three very strong peaks which are characteristic of the  $\nu_a\text{COO}^-$ ,  $\nu_s\text{COO}^-$ , and  $\nu(\text{C}-\text{C})$  modes of the acetate ion formed as a final product of the hydrolysis, together with choline (23). The  $\nu_a\text{COO}^-$  vibration appeared at  $1566\text{ cm}^{-1}$  (seen only in IR spectra), the  $\nu_s\text{COO}^-$  appeared at  $1420\text{ cm}^{-1}$  (R) and  $1413\text{ cm}^{-1}$  (IR), and the  $\nu(\text{C}-\text{C})$  was found in the R spectrum at  $930\text{ cm}^{-1}$ . The formation of the acetate ion after hydrolysis was accompanied by the disappearance of the very strong  $\nu\text{C}=\text{O}$  vibration at  $1734\text{ cm}^{-1}$  and  $\nu_a(\text{C}-\text{O})$  at  $1251\text{ cm}^{-1}$ .

## TABLE 2

IR and R Spectra										Tunneling spectra					Assignment	
AchI: aqueous solution				ChI			AchI		$\beta$ -MeAch		CH <sub>3</sub> COOH		Choline		Assignment	
Before hydrolysis		After hydrolysis		Aqueous solution		Solid state		cm <sup>-1</sup>		meV		cm <sup>-1</sup>		meV		
R	IR	R	IR	R	IR	R	IR	cm <sup>-1</sup>	meV	cm <sup>-1</sup>	meV	cm <sup>-1</sup>	meV	cm <sup>-1</sup>		meV
418 vw		419 vw		420 m	410 vw	411 vs	51 vs	403 vs	50 vs			440 s	55 s	$\delta$ choline skeleton (O—C—C—N)		
450 vw				449 s	450 w	427 vs	53 vs	427 vs	53 vs							
525 vw		477 vw		468 sh	468 w	621 m	77 m	613 m	76 m					$\delta$ acetyl skeleton (O—C—O)		
		526 vw		531 m	531 w	685 s	85 s	677 s	84 s							
645 s		645 vvw												$\delta$ CH <sub>2</sub> r $\nu$ <sub>a</sub> C—N		
834 s																
720 vs		709 vs		713 vs		726 sh	90 sh	718 sh	89 sh					$\delta$ CH <sub>3</sub> —C acetate + $\nu$ Al—O $\delta$ (CH <sub>3</sub> )N r $\nu$ <sub>a</sub> C—O		
875 s		864 m		859 s		847 s	105 s	847 s	105 s							
		930 vs				935 m	116 m	935 m	116 m			935 s	116 s	$\nu$ C—C acetate + $\nu$ Al—O $\delta$ (CH <sub>3</sub> )N r $\nu$ <sub>a</sub> C—O		
960 sh		879 s		896 s		976 sh	121 sh	976 sh	121 sh							
952 s		953 s		956 vs										$\nu$ C—C + $\delta$ CH <sub>3</sub> r $\nu$ <sub>a</sub> C—O $\nu$ <sub>a</sub> C—N		
1055 w		1052 m		1054 m	1053 m	1056 m	131 m	1056 m	131 m			1056 w	131 w	$\nu$ C—C + $\delta$ CH <sub>3</sub> r $\nu$ <sub>a</sub> C—O $\nu$ <sub>a</sub> C—N		
		1085 m		1079 w	1079 s											
1143 vw		1136 m		1133 w	1129 m	1145 s	143 s	1145 s	143 s			1173 w	146 w	$\delta$ CH <sub>2</sub> w ou t $\delta$ (CH <sub>3</sub> )C		
		1251 vs		1231 vs	1231 vw	1306 m	162 m	1306 m	162 m							
1346 m		1346 m		1367 sh								1371 sh	170 sh	$\nu$ <sub>a</sub> COO <sup>-</sup> $\delta$ <sub>a</sub> CH <sub>3</sub> $\delta$ CH <sub>2</sub>		
1450 vs		1420 s	1413 vs	1454 sh	1454 sh	1387 sh	172 sh	1387 sh	172 sh			1444 s	179 s	$\nu$ <sub>a</sub> CH <sub>2</sub> + $\nu$ <sub>a</sub> (CH <sub>3</sub> )C $\nu$ <sub>a</sub> (CH <sub>3</sub> )N $\nu$ <sub>a</sub> CH <sub>2</sub> + $\nu$ <sub>a</sub> (CH <sub>3</sub> )C		
		1450 vs		1458 s		1429 vs	177 vs	1435 vs	178 vs							
1475 sh		1475 sh	1477 s	1477 w	1477 m									$\nu$ <sub>a</sub> COO <sup>-</sup> $\nu$ C=O		
		1488 sh	1488 sh													
1735 m		1734 vs	1566 vs			1596 vs	198 vs	1596 vs	198 vs			1605 w	199 w	$\nu$ <sub>a</sub> CH <sub>2</sub> + $\nu$ <sub>a</sub> (CH <sub>3</sub> )C $\nu$ <sub>a</sub> (CH <sub>3</sub> )N $\nu$ <sub>a</sub> CH <sub>2</sub> + $\nu$ <sub>a</sub> (CH <sub>3</sub> )C		
2945 s		2941 s		2885 m	2881 w	2879 vs	357 vs	2879 vs	357 vs			2855 sh	354 sh	$\nu$ <sub>a</sub> CH <sub>2</sub> + $\nu$ <sub>a</sub> (CH <sub>3</sub> )C $\nu$ <sub>a</sub> (CH <sub>3</sub> )N $\nu$ <sub>a</sub> CH <sub>2</sub> + $\nu$ <sub>a</sub> (CH <sub>3</sub> )C		
2978 sh		2984 vs		2930 s	2925 vw											
2989 vs		2989 vs		2954 s	2948 m	2952 vs	366 sh	2944 vs	365 vs			2935 s	364 s	$\nu$ <sub>a</sub> CH <sub>2</sub> + $\nu$ <sub>a</sub> (CH <sub>3</sub> )C $\nu$ <sub>a</sub> (CH <sub>3</sub> )N $\nu$ <sub>a</sub> CH <sub>2</sub> + $\nu$ <sub>a</sub> (CH <sub>3</sub> )C		
		2973 vw		3008 vs	3006 m											
				3012 vs	3023 m									$\nu$ <sub>a</sub> (CH <sub>3</sub> )N		
				3027 s	3023 m											
3045 w		3045 w		3045 w	3045 w	3048 m	378 m	3056 m	379 m			3008 s	373 s			

All of the features discussed above were found in the tunneling spectra (Table 2). We conclude therefore that under these experimental conditions the alumina surface acts as a catalyst for the hydrolysis of Ach and  $\beta$ -MeAch, as does a basic aqueous medium with pH greater than 9 (23) or as does AchE on the postsynaptic membrane. Further confirmation of this conclusion has been obtained by investigation of the tunneling spectrum of acetic acid chemically adsorbed on alumina (24) as well as the spectrum of choline alone recorded in this study (Fig. 2, c and d; Table 2).

When the COOH group of acetic acid reacts with the alumina surface, a COO<sup>-</sup> ion is formed and the extra electron on the oxygen atom participates in the coordinate bonding to an aluminum atom in the substrate. The features seen in the spectrum of the acetate ion (Fig. 2, c) were present in the Ach and  $\beta$ -MeAch spectra (Fig. 2, a and b), but the  $\nu_a$ COO<sup>-</sup> and  $\delta$ (C—C—O) modes were relatively more intense.

As far as the choline tunneling spectrum is concerned (Fig. 2, d), it was of interest to find the same features as those in the spectrum of adsorbed acetate ions: two peaks, situated at 1444 cm<sup>-1</sup> and at 1605 cm<sup>-1</sup>, which corresponded to  $\nu_s$ COO<sup>-</sup> and  $\nu_a$ COO<sup>-</sup>. The liberated choline also appeared to adsorb in ionic form on the alumina surface. Thus the large intensities for the  $\nu_a$ COO<sup>-</sup> modes in the tunneling spectra of Ach and  $\beta$ -MeAch as compared with the spectrum of acetate ions could be explained by the superposition of vibrations of the  $\nu$ COO<sup>-</sup> groups coming from the acetyl and choline parts of the hydrolyzed and ionized molecules.

The presence of both products of hydrolysis on the alumina surface considerably complicated interpretation of the lateral vibrations. Nevertheless some comments can be made about the methyl and methylene groups. The  $\nu_s$ (CH<sub>3</sub>)N vibration, which previously was present at 2911 cm<sup>-1</sup> for Ach and  $\beta$ -MeAch, was missing here. The  $\nu_a$ (CH<sub>3</sub>)N vibration, which was present at 3006 cm<sup>-1</sup> for Ach and  $\beta$ -MeAch, was now shifted to 3048 cm<sup>-1</sup> for Ach and 3056 cm<sup>-1</sup> for  $\beta$ -MeAch and corresponded well to the respective frequencies of the aqueous-solution Ach and  $\beta$ -MeAch spectra. The  $\delta$ (CH<sub>3</sub>)N rocking vibration, which was missing from the tunneling spectra in Table 1, appeared here as a shoulder at 976 cm<sup>-1</sup> for both molecules (Table 2). The stretching  $\nu_s$ (C—N) vibrations, which appeared at 871 cm<sup>-1</sup> (Ach) and 895 cm<sup>-1</sup> ( $\beta$ -MeAch), as well as the  $\nu_a$ (C—N) vibration at 1330 cm<sup>-1</sup> and 1315 cm<sup>-1</sup>, respectively (Table 1), were also modified. The  $\nu_s$ (C—N) was now at 847 cm<sup>-1</sup> and  $\nu_a$ (C—N) at 1306 cm<sup>-1</sup> for both molecules (Table 2). Thus the conformation of the methyl groups bonded to the nitrogen atom seemed to change greatly as compared with that of the choline part of nonhydrolyzed Ach and  $\beta$ -MeAch, and became almost identical for both molecules.

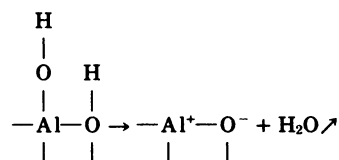
As in the case of experimental Condition A, the methylene groups can be characterized by the nonmixed vibrations,  $\nu_a$ CH<sub>2</sub> and  $\delta$ CH<sub>2</sub>r. The  $\nu_a$ CH<sub>2</sub> mode remained unchanged at 2952 cm<sup>-1</sup> for Ach and at 2944 cm<sup>-1</sup> for  $\beta$ -MeAch. The  $\delta$ CH<sub>2</sub>r, found at 710 cm<sup>-1</sup> for Ach and at 750 cm<sup>-1</sup> for  $\beta$ -MeAch (Table 1), was now located at 726 cm<sup>-1</sup> and at 718 cm<sup>-1</sup>, respectively (Table 2). Thus the conformation of the methylene groups did not show

significant modifications except that here again the difference between Ach and  $\beta$ -MeAch seemed to be suppressed.

## DISCUSSION

Careful attention must be given to defining the nature of the alumina in tunneling junctions.  $\gamma$ -Al<sub>2</sub>O<sub>3</sub> has been widely studied by IR spectrometry but nearly always in its dehydrated state after prolonged evacuation above 500°.

The alumina of tunneling junctions has not been subjected to such treatment. It is formed under a residual water vapor pressure of the order of 10<sup>-7</sup>–10<sup>-6</sup> torr, and the presence of hydroxyl groups on its surface is proven by the OH stretching vibrations present in all spectra. Nevertheless, the fact that tunneling alumina is exposed to a pressure of 10<sup>-6</sup> torr probably causes a partial dehydroxylation of the surface. Weak acid-base pairs then appear (27). We can schematize the reaction as follows:



These sites have been shown to be present on the surface of the alumina of tunnel junctions (24).

Ammonia and pyridine adsorb with difficulty on tunnel junctions (pyridine can be held by prior physical adsorption at 77 K and, in this case, the presence of Lewis acid sites is indicated). On the other hand, acids (in particular, carboxylic acids) adsorb very well. This adsorption takes place via two different mechanisms, depending on the acid coverage (24). The acid decomposes, its proton remaining on the surface in the first stage, whereas at higher coverage the proton combines with an —OH group to desorb as water.

In the following discussion we use this information first to describe the interaction of the molecules with the alumina surface in the nonhydrolyzed state (Condition A) and then to suggest a mechanism of hydrolysis under Condition B.

### Nonhydrolytic Interaction of Ach and $\beta$ -MeAch with the Alumina Surface (Condition A)

There are two active groups in both Ach and  $\beta$ -MeAch: the trimethylammonium group of the choline part, which carries a full positive charge, and the ester group of the acetyl part, which is strongly polarized. It has been suggested (25) that on the active surface of the receptor there are two corresponding and complementary groups, anionic and esteratic. The negative charge of the anionic site in the receptor molecule which interacts with the N<sup>+</sup> of Ach has been associated with a small group, perhaps an oxygen atom. From electron density calculations in the Ach molecule (9), a dipole-dipole interaction between the C=O group of the acetyl part of Ach and an unknown charge in the electrophilic portion of the Ach receptor has been suggested (25), but other interactions are possible.

On the alumina surface the two corresponding and



complementary active centers which can interact with Ach and  $\beta$ -MeAch could be the  $\text{Al}^+$  and  $\text{O}^-$  ions. Thus we could explain the interactions of both molecules in the following way.

**Choline part.** The positive trimethylammonium group is attracted by the negatively charged oxygen atom of the surface. The interaction does not seem to influence the conformation of the choline skeleton of  $\beta$ -MeAch, which remains close to that of its solid state and aqueous solution (Table 1). This is probably due to the great distance between the two opposed charges forming an ionic bond, as suggested by Nachmansohn (19). If it is assumed that the unbonded oxygen radius is 1.5 Å and the radius of the trimethylammonium is 3.5 Å, the closest approach of the two charges can be estimated at 5 Å.

When the flexible Ach molecule approaches the alumina surface, there is evidence that the conformation of the choline skeleton changes by a rotation around the  $\text{O}_1\text{—C}_5$  bond (15) and adopts the solid-state conformation which is very similar to that of the  $\beta$ -MeAch skeleton (Table 1). This conformation once established, Ach seems to interact with the alumina surface in the same way as does  $\beta$ -MeAch: both tunneling spectra were very similar (Fig. 1, *a* and *b*; Table 1). This similarity applies especially to the conformation of the choline and acetyl skeletons. However, there are slight differences in the conformations of the three methyl groups bonded to  $\text{N}^+$ . Some differences were noted when we compared the tunneling spectrum of Ach with that of  $\beta$ -MeAch; they are not surprising because of the differing solid-state conformations of these groups in both molecules (17). Other differences were found in comparing the tunneling spectrum of each molecule with its solid-state R and IR spectra; we cannot give a satisfactory explanation for these differences. In contrast, the methylene groups ( $\text{CH}_2$ ) do not indicate any conformational changes on the alumina surface.

**Acetyl part.** Tunneling spectroscopic studies have been conducted on the interaction with the alumina surface of various molecules with  $\text{C=O}$  bonds (26). Carboxylates have been found to form on the surface.

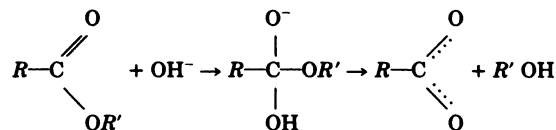
Our tunneling spectra indicate (Fig. 1; Table 1) that the acetyl part of both molecules interacts with positive  $\text{Al}^+$  sites on the alumina surface via the strongly polarized oxygen atom of the  $\text{C=O}$  group. This is indicated by the absence of the characteristic vibration  $\nu(\text{C=O})$ , expected at about  $1740\text{ cm}^{-1}$ , in the tunneling spectra. However, it is clear that the carboxylate is not formed because we did not find the typical peak for the  $\nu_s\text{COO}^-$  vibration at about 200 meV ( $1600\text{ cm}^{-1}$ ). Therefore, we propose that in the course of the interaction the  $\text{C=O}$  double bond is broken and that the O is coordinately bonded to an  $\text{Al}^+$  cation.

#### Hydrolytic Interaction of Ach and $\beta$ -MeAch with the Alumina Surface

In both series of experiments (Conditions A and B), aqueous solutions of Ach and  $\beta$ -MeAch were deposited on the alumina surface, but hydrolysis occurred only when the samples were rinsed with distilled water. It is known from previous experiments that enzymatic hydrolysis is maximal at a pH between 7.8 and 8.5 (19) and

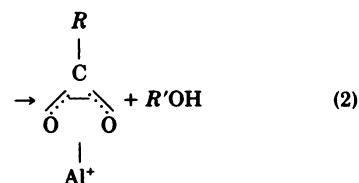
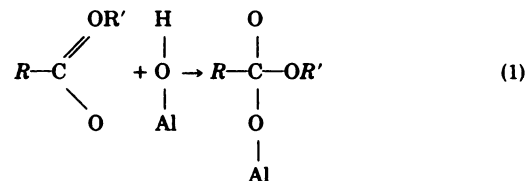
that non-enzymatic hydrolysis requires a more basic environment [above pH 10 (23)].

According to the classical scheme (28), hydrolysis of the esters takes place as follows:



where  $\text{R} = \text{CH}_3$  and  $\text{R}' = \text{CH}_2\text{CH}_2\text{N}(\text{CH}_3)_3$  in the case of Ach.

Assuming that  $\text{OH}^-$  is an alumina surface radical, we can write the reaction:



The choline ( $\text{R}'\text{OH}$ ) would then react with the alumina surface, as alcohols are known to do (29), to form a carboxylate ion:



Configuration 1 would have a spectrum close to the one that we observed under Condition A, the molecules being only slightly affected, apart from the disappearance of the double bond  $\text{C=O}$ . Configuration 2 would correspond to the case where the molecule is indeed hydrolyzed, as under Condition B.

It is not clear why a rinse in distilled water should provoke the change from Configuration 1 to Configuration 2. It is possible that the molecules diffuse rapidly toward the alumina surface under Condition A, forming a local concentration much higher than that of the doping solution. Rinsing the samples could lower this concentration, displacing the equilibrium of the reaction: Configuration 1  $\rightarrow$  Configuration 2. This suggestion is highly speculative in the absence of precise knowledge of the molecular interactions near and on the alumina surface.

#### CONCLUSIONS

The results presented in this paper show that IETS can be used to obtain information about the interaction of Ach and its analogue,  $\beta$ -MeAch, with an alumina surface which can be useful in understanding the "active conformation" of Ach at the postsynaptic membrane surface as well as its hydrolysis by AchE. Thus, in the course of nonhydrolytic interaction of Ach and  $\beta$ -MeAch with the alumina surface, the positive trimethylammonium groups of both molecules seem to be attracted by



the negative oxygen atoms of the surface. This interaction does not influence the choline skeleton of  $\beta$ -MeAch; its conformation remains close to that of solid-state and aqueous-solution  $\beta$ -MeAch. In contrast, in Ach the choline skeleton assumes its solid-state conformation, similar to that of  $\beta$ -MeAch.

There is evidence that in the acetyl part of the molecules the C=O double bond is broken and that the oxygen atom coordinates with an  $Al^+$  cation. The acetyl skeleton itself does not show important conformational changes for either molecule.

Among the lateral groups bonded to the two skeletons, the methylene groups do not indicate any conformational changes on the alumina surface, but the methyl groups bonded to the positive nitrogen atom exhibit some differences between Ach and  $\beta$ -MeAch as well as between tunneling spectra and solid-state R spectra for each molecule.

Under Condition B, alumina catalyzes the hydrolysis of both molecules, as does AchE on the postsynaptic membrane or as does a basic aqueous medium with a pH greater than 9. Products of hydrolysis were found on the alumina surface: acetate ion and choline, the latter also adsorbed in ionic form.

The following observations have been made concerning the lateral methyl and methylene groups of the separated choline: (a) The conformation of the methyl groups bonded to the positive nitrogen atom becomes almost identical for both molecules and is similar to the aqueous-solution conformation; it differs greatly from the conformation of nonhydrolyzed Ach and  $\beta$ -MeAch. (b) The conformation of the methylene groups does not show significant modifications; here also the differences between Ach and  $\beta$ -MeAch seem to be suppressed.

A tentative hypothesis is given to explain this hydrolysis.

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